PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shrinivasan et al.

Application No: 10/007,227

Filed: November 7, 2001

Title: METHOD AND APPARATUS FOR SEALING SUBSTRATE LOAD PORT IN A

HIGH PRESSURE REACTOR

Examiner: Unassigned

Docket No: NOVEP012

Group Art Unit: 1756

Date: March 6, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on

March 6, 2002.

Signed:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL **APPLICATION**

Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application--Filing Date Granted mailed January 7, 2002, Applicant hereby attaches a copy of a the Separate Letter to the Official Draftsperson and the accompanying postcard sent to the Commissioner for Patents on January 3, 2002 and subsequently returned to us. Accordingly, the Applicants have previously complied with the Notice to File Missing Parts for the corrected drawings. Applicant is also submitting copies of Petition for Application by Other Than All Inventors, Declaration of Facts In Support of Applying on Behalf of Omitted Inventor, and Added Pages to Combined Declaration and Power of Attorney. The originals of these papers are being filed separately with the Office of Petitions.

Applicant believes that the application complies with drawing regulations as stated in 37 CFR 1.84 in light of the prior submission of the formal drawings mailed January 3, 2002. The signature missing from the Declaration is being addressed by the Petition Under 1.47(a), which has been sent to the Office of Petitions. Should the Office need further information please contact the undersigned at (408) 749-6900 x6921.

Enclosed is our Check No. 6577 for \$130.00 in payment of the surcharge fee. The Commissioner is authorized to charge any other fees that may be due to our Deposit Account No. 50-0805 (Order No. NOVEP012). A duplicate copy of this sheet is enclosed for this purpose.

Respectfully submitted,

MARTINE & PENILLA, LLP

Michael L. Gencarella, Esq.

Reg. No. 44,703

710 Lakeway Drive, Suite 170 Sunnyvale, CA 94085 Telephone: (408) 749-6900

Customer Number 25920

Attorney Docket No: NOVEP012

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OFFICE OF PETITIONS

In the United States Patent and Trademark Office

Applicant: Shrinivasan et al.

Applicant's Ref: NOVEP012

Application No: 10/007,227

Filed: November 7, 2001

Title: METHOD AND APPARATUS FOR

SEALING SUBSTRATE LOAD PORT IN A HIGH)

PRESSURE REACTOR

Examiner: Unassigned

Group Art Unit: Unassigned

Date: January 3, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on

January 3, 2002.

Signed:

Separate Letter to the Official Draftsperson

Commissioner for Patents Box OFFICIAL DRAFTSPERSON Washington, D.C. 20231

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APR 2 92002

Dear Sir:

OFFICE OF PETITIONS

Enclosed herewith are the formal drawings (Figures 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11A, 11B, 12, 13, 14, 15A, 15B, 15C, 15D, 16, 17, 18, and 19), for the above-referenced case. If the draftsperson has any questions concerning these drawings, please contact the undersigned at the number set forth below. If any fees are due in connection with the filing of these drawings, please charge such fees to deposit account 50-0805 (Order No. NOVEP012).

Respectfully submitted,

MARTINE & PENILLA, LLP

Michael L. Gencarella, Esq.

Reg. No. 44,703

710 Lakeway Drive, Suite 170 Sunnyvale, CA 94085 (408) 749-6900 Customer Number 25920

Attorney Docket No: NOVEP012



PATENT POSTCARD - Customer No. 25920

Docket No. NOVEP012 Appln. No.: 10/007,227 Date: Jan. 3, 2002
By: MLG:kh Filing Date: Nov. 7, 2001 Express Mail No.:
Inventors: Shrinivasan et al.
Title: METHOD AND APPARATUS FOR SEALING SUBSTRATE LOAD PORT IN A HIGH PRESSURE REACTOR
The following has been received in the U.S. Patent & Trademark office on the date
FEB U 4 Zuz Jul
Separate Letter to the Official Draftsperson Fourteen (14) sheets of Formal Drawings (Figures 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11A, 11B, 12, 13, 14, 15A, 15B, 15C, 15D, 16, 12, 12, 12, 12, 12, 12, 12, 12, 12, 12



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/007,227

11/07/2001

Krishnan Shrinivasan

NOVEP012

25920 MARTINE & PENILLA, LLP 710 LAKEWAY DRIVE **SUITE 170** SUNNYVALE, CA 94085



CONFIRMATION NO. 2684 FORMALITIES LETTER

OC000000007274571

Date Mailed: 01/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration: Valdimir Starov
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

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03/21/2002 CV0111 00000101 10b07227

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



COMPONIANT TO THE

United States Postal Service

Print your name address, and ZIP Code in this box.

MARTINE & PENILLA, LLP
Intellectual Property Aftorneys
710 Lakeway Drive, Suite 170
Sunnyvale, California 94085
Michael L. Gencarel Ia

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SENDER: I also wish to receive the Complete items 1 and/or 2 for additional services
Complete items 3, 4a, and 4b.
Print your name and address on the reverse of the following services (for an 1. Addressee's Address 2. A Restricted Delivery Consult postmaster for fee. 3. Article Addressed to: 4a. Article Number 7001 1940 0005 4542.3429 Mr. Vladimir Starov 4b. Service Type 14720 Skylane Blvd. ☐ Registered □ Certified Los Gatos, CA 95032 ☐ Express Mail ☐ Insured Return Receipt for Merchandise COD 7. Date of Delivery 5. Received By: (Print Name) Vladimir Starov 8. Addressee's Address (Only if requested and fee is paid) 6. Signature: (Addressee or Agent) PS Form 3811, December 1994 Domestic Return Receipt

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EXHIBIT A-2

